SNI ISO 37001 CERTIFICATION IN INDONESIA

Solo, 24 July 2019

OUTLINE

- **Standardization and Conformity Assessment**

- **SNI ISO 37001 and Its Certification**

- **Benefits and Challenges**

Standardization and Conformity Assessment in Indonesia
(National Quality Infrastructure) - Law No. 20/2014
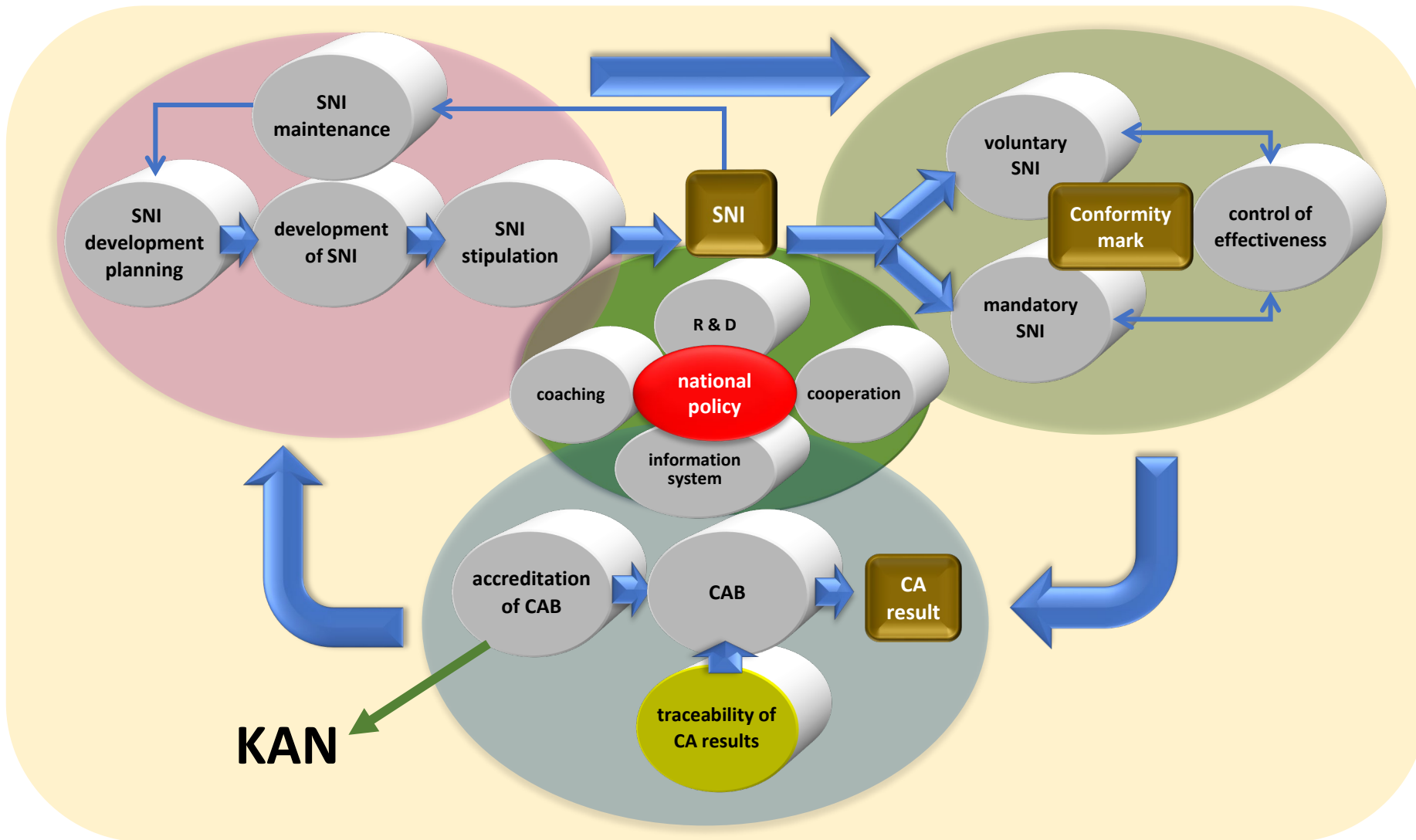
Institutional Arrangement

SNI Development Process

Accreditation and Certification System

KOMITE AKREDITASI NASIONAL (KAN)
(ISO/IEC 17011)

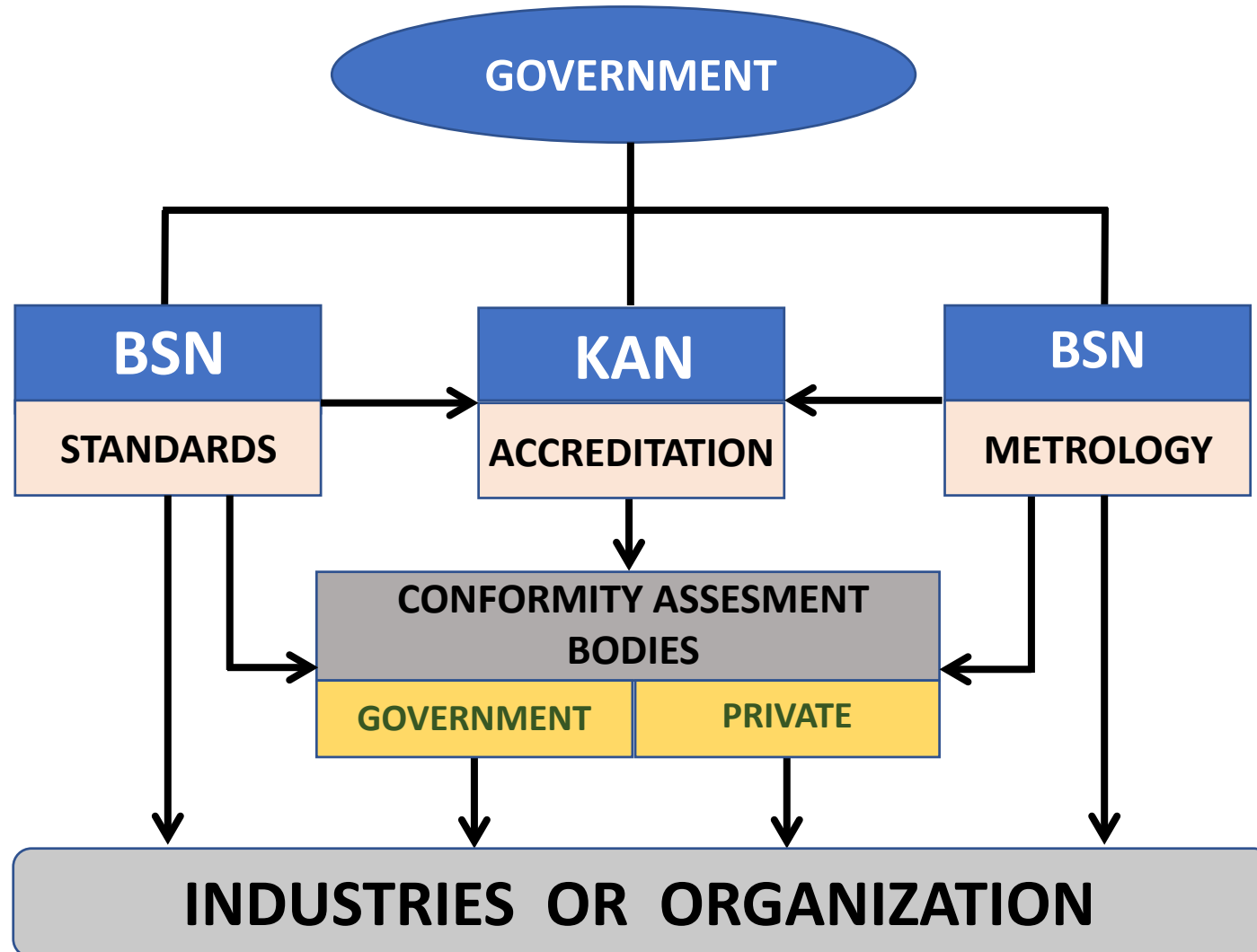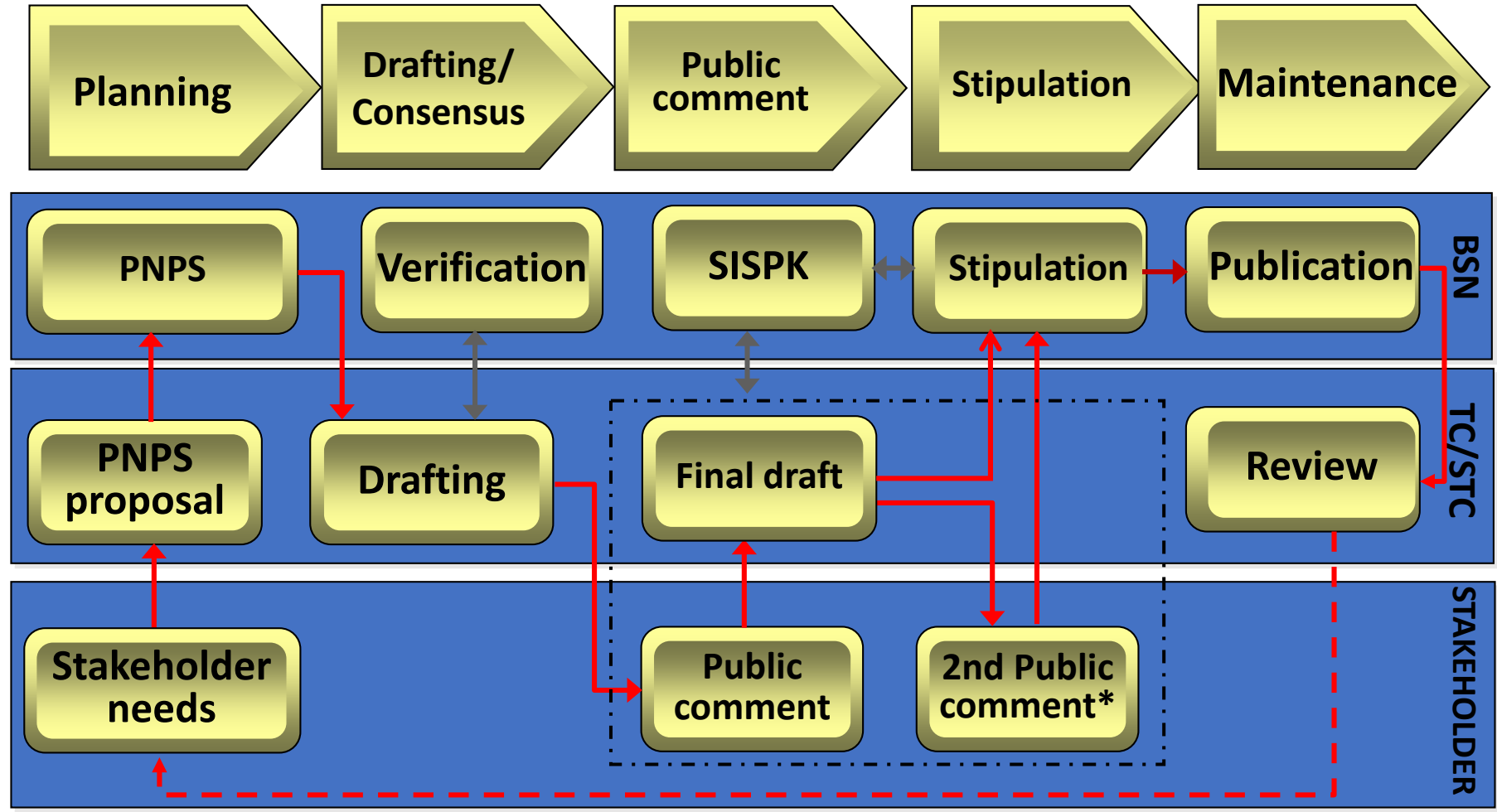| CERTIFICATION BODY ACCREDITATION | LABORATORY ACCREDITATION | INSPECTION BODY ACCREDITATION | PROFICIENCY TESTING PROVIDER ACCREDITATION | REFERENCE MATERIAL PRODUCER ACCREDITATION |

CERTIFICATION BODY

| ISO/IEC 17024 | ISO/IEC 17021 | ISO/IEC 17065 | ISO/IEC 17021 | n |
| PERSON CERTIFICATION | QMS CERTIFICATION | PRODUCT CERTIFICATION | EMS CERTIFICATION | |

| TESTING/CALIBRATION LABORATORY ISO/IEC 17025 — MEDICAL LABORATORY ISO 15189 | INSPECTION BODY ISO/IEC 17020 | PROFICIENCY TESTING PROVIDER ISO/IEC 17043 | REFERENCE MATERIAL PRODUCER ISO/IEC 17034 |

| PERSON CERTIFICATE | QMS CERTIFICATE | PRODUCT CERTIFICATE | EMS CERTIFICATE | n | TESTING/ CALIBRATION CERTIFICATE | INSPECTION CERTIFICATE | PROFICIENCY TESTING REPORT | CERTIFIED REFERENCE MATERIAL |

| Standard / Requirement | ISO 9001 | Product standard | ISO 14001 | Standard / Requirement | Standard / Metode / Product | Standard / Requirement | Performance requirement | Traceability |

PERSON/ PROFESSION

SUPPLIERS/INDUSTRIES

KAN Accreditation Shemes

1. Testing Laboratory (ISO/IEC 17025)
2. Calibration Laboratory (ISO/IEC 17025)
3. Medical Laboratory (ISO 15189)
4. Inspection Body (ISO/IEC 17020)
5. Product (ISO/IEC 17065)
6. QMS ISO 9001 (ISO/IEC 17021)
7. EMS ISO 14001 (ISO/IEC 17021)
8. Person (ISO/IEC 17024)
9. Proficiency Testing (ISO/IEC 17043)
10. Reference Material (ISO/IEC 17034)
11. Sustainable Forest (ISO/IEC 17021)
12. Timber Legality (ISO/IEC 17065)
13. Tourisms (ISO/IEC 17021)
14. Halal Product Assurance (ISO/IEC 17065)
15. Umroh Travel Bureau (ISO/IEC 17021)
16. ICAO Corsia (ISO 14065)

17. Ecolabel (KAN Guide 801)
18. Food Safety ISO 22000 (ISO/IEC 17021)
19. Food Safety HACCP (ISO/IEC 17065)
20. Organic Foods (KAN Guide 901)
21. Information Security MS ISO 27001 (ISO/IEC 17021)
22. Medical Devices MS ISO 13485 (ISO/IEC 17021)
23. Green House Gases (ISO 14065)
24. Energy Management ISO 50001 (ISO/IEC 17021)
25. Supply Chain MS ISO 28000 (ISO/IEC 17021)
26. Biosafety Level Laboratory (ISO/IEC 17065)
27. Anti Bribery MS ISO 37001 (ISO/IEC 17021)
28. Occupational H & S ISO 45001 (ISO/IEC 17021)
29. Under development: ISPO, Indo GAP, ???

SNI ISO 37001 (Anti Bribery Management System) and Its Certification

SNI ISO 37001:2016

SNI
Standar Nasional Indonesia

SNI ISO 37001:2016

Sistem manajemen anti penyuapan – Persyaratan dengan panduan penggunaan

Anti-bribery management systems – Requirements with guidance for use

(ISO 37001:2016, IDT)

ICS 03.100.01   Badan Standardisasi Nasional   BSN

SNI ISO 37001:2016

| Sistem manajemen anti penyuapan – Persyaratan dengan panduan penggunaan	Anti-bribery management systems – Requirements with guidance for use
1 Lingkup	**1 Scope**
Standar ini merinci persyaratan dan menyediakan panduan untuk menetapkan, menerapkan, memelihara, meninjau dan meningkatkan sistem manajemen anti penyuapan. Sistem tersebut dapat berdiri sendiri atau dapat diintegrasikan dengan keseluruhan sistem manajemen. Standar ini ditujukan untuk hubungan dengan aktivitas organisasi berikut ini:	This standard specifies requirements and provides guidance for establishing, implementing, maintaining, reviewing and improving an anti-bribery management system. The system can be stand-alone or can be integrated into an overall management system. This standard addresses the following in relation to the organization's activities:
— penyuapan di sektor publik, swasta dan nirlaba;	— bribery in the public, private and not-for-profit sectors;
— penyuapan oleh organisasi;	— bribery by the organization;
— penyuapan oleh personel yang bertindak atas nama organisasi atau untuk keuntungannya;	— bribery by the organization's personnel acting on the organization's behalf or for its benefit;
— penyuapan oleh rekan bisnis dari sebuah organisasi yang bertindak atas nama organisasi atau untuk keuntungannya;	— bribery by the organization's business associates acting on the organization's behalf or for its benefit;
— penyuapan oleh organisasi;	— bribery of the organization;
— penyuapan oleh personel organisasi sehubungan dengan aktivitas organisasi;	— bribery of the organization's personnel in relation to the organization's activities;
— penyuapan rekan bisnis organisasi sehubungan dengan aktivitas organisasi;	— bribery of the organization's business associates in relation to the organization's activities;
— penyuapan langsung dan tidak langsung (misal: menawarkan atau menerima suap melalui atau oleh pihak ketiga).	— direct and indirect bribery (e.g. a bribe offered or accepted through or by a third party).
Standar ini berlaku hanya untuk penyuapan. Standar ini menentukan persyaratan dan menyediakan panduan sistem manajemen yang dirancang untuk membantu organisasi mencegah, mendeteksi dan menangani penyuapan serta mematuhi peraturan perundang-undangan yang terkait dengan anti penyuapan dan komitmen sukarela yang sesuai dengan aktivitas tersebut.	This standard is applicable only to bribery. It sets out requirements and provides guidance for a management system designed to help an organization to prevent, detect and respond to bribery and comply with anti-bribery laws and voluntary commitments applicable to its activities.

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KAN
Komite Akreditasi Nasional

SNI ISO 37001
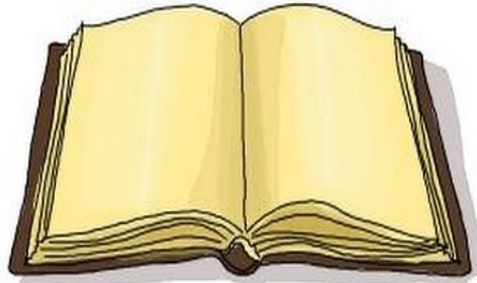
It is designed to help an organization establish, implement, maintain, and improve an anti-bribery compliance program.

It includes a series of measures and controls that represent global anti-bribery good practices.

The standard is flexible and can be implemented by a wide range of organizations, including:
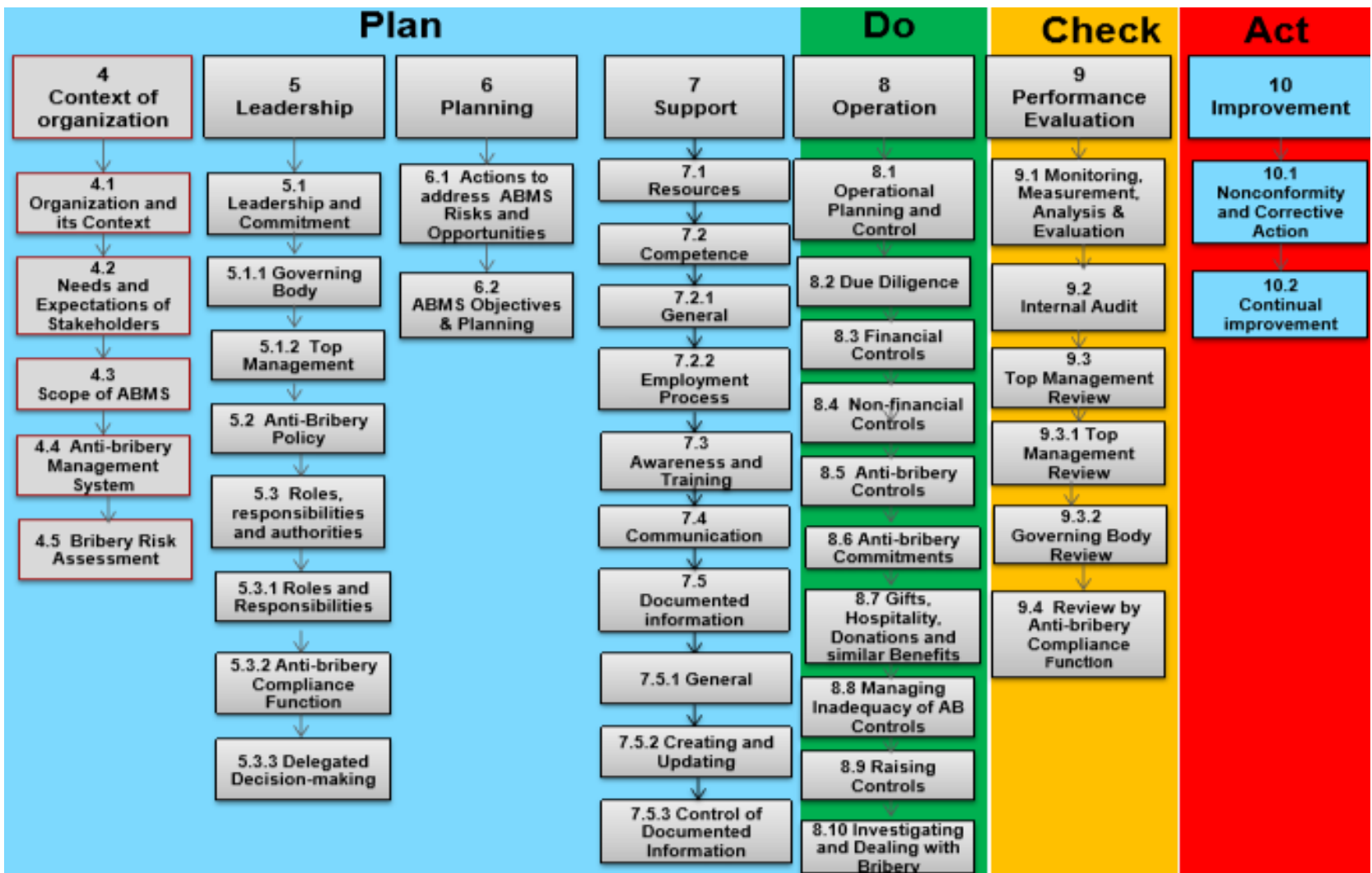
- Large organizations
- Small & medium sized enterprises (SMEs)
- Public, SOEs and private organizations
- Non-governmental organizations (NGOs)

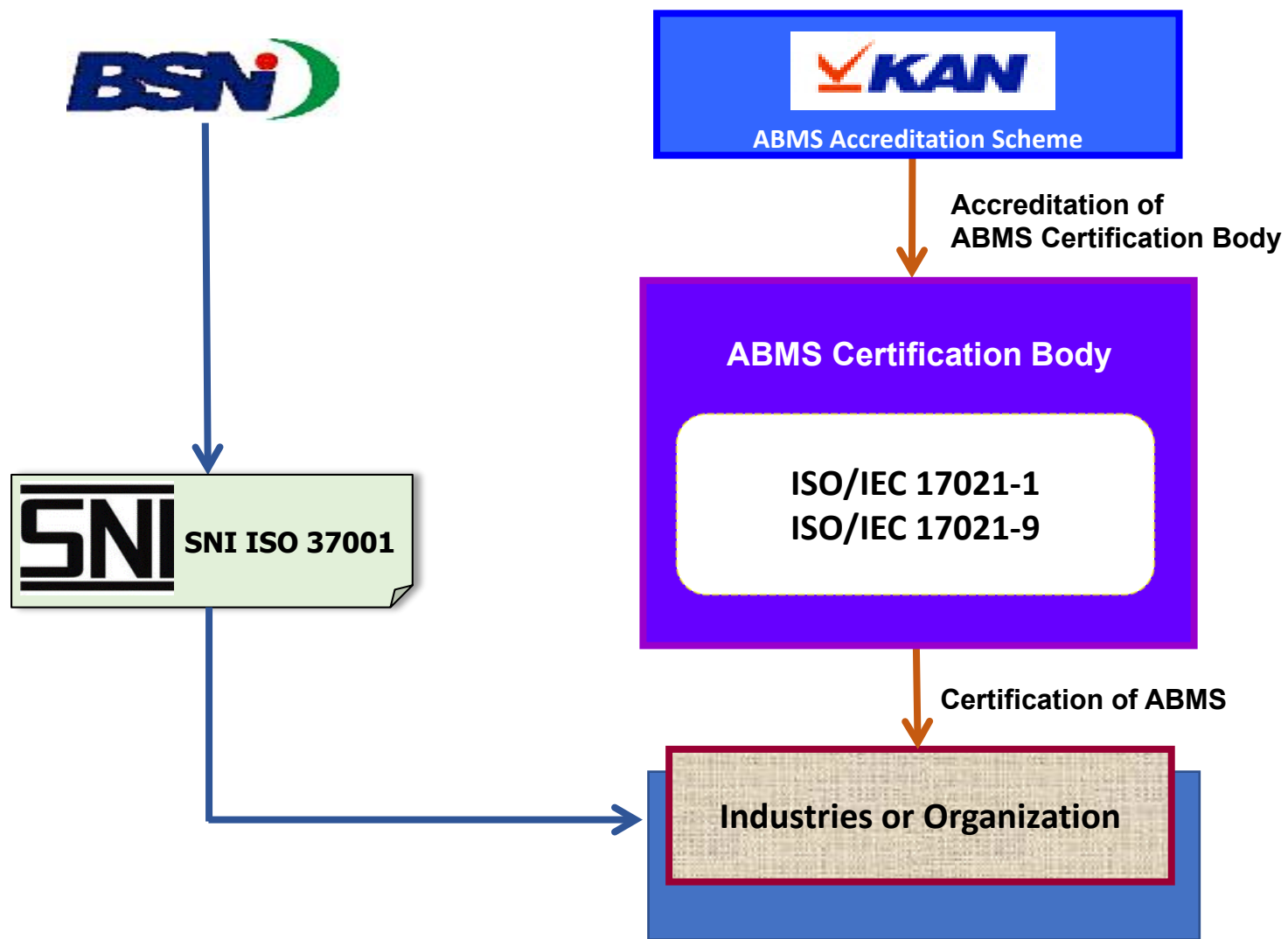# SNI ISO 37001 Structure
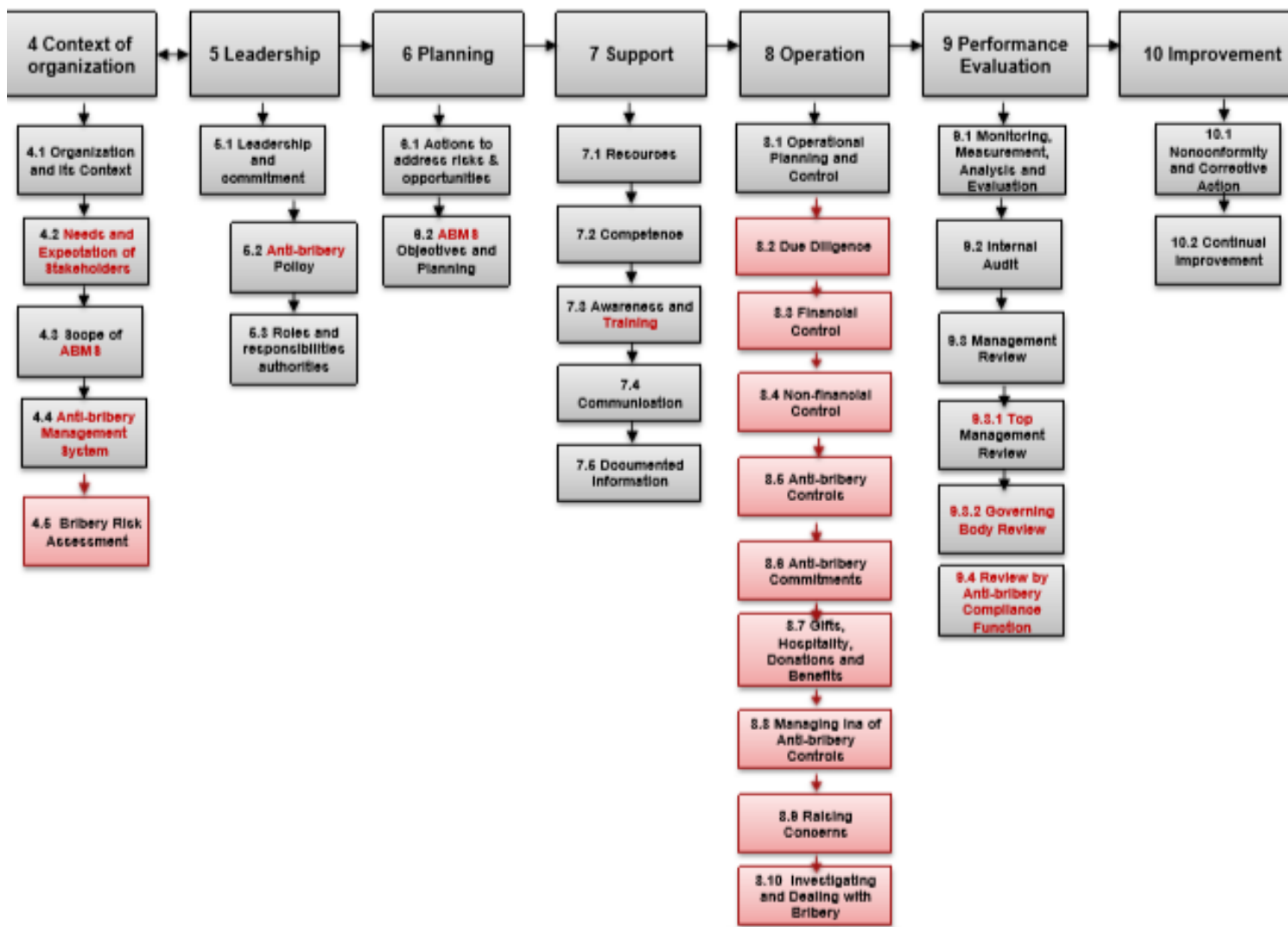
Loi, 2016

SNI ISO 37001 Structure

Loi, 2016

Action No 20 of The Presidential Instruction No 10/2016

No	AKSI	PENANGGUNG JAWAB	INSTANSI TERKAIT	KRITERIA KEBERHASILAN	UKURAN KEBERHASILAN
	1	2	3	4	5
	Tata Kelola Badan Usaha Milik Negara dan Swasta				
20.	Inisiasi upaya sertifikasi anti korupsi	Badan Standardisasi Nasional	1. Kementerian Perencanaan Pembangunan Nasional/Badan Perencanaan Pembangunan Nasional 2. Kementerian Pendayagunaan Aparatur Negara dan Reformasi Birokrasi 3. Komisi Pemberantasan Korupsi 4. Badan Pusat Statistik	Terselesaikannya standar internasional serupa *International Organization for Standardization* (ISO) 37001 untuk sektor swasta dan Pemerintah di akhir tahun 2016	1. Tersusunnya kajian mengenai *draft* ISO 37001 2. Ikut sertanya Badan Standardisasi Nasional (BSN) ke dalam komite ISO

21. Penyusunan ...

Certification of SNI ISO 37001

SNI ISO 37001

ABMS Accreditation Scheme

Accreditation of
ABMS Certification Body

ABMS Certification Body

ISO/IEC 17021-1
ISO/IEC 17021-9

Certification of ABMS

Industries or Organization

TYPICAL OF SNI ISO 37001 CERTIFICATION PROCESS

```
┌──────────────────────┐        Detail information of applicant
│     APPLICATION      │ ─────► organization, SNI ISO 37001 management
└──────────────────────┘        system documentation, etc
            │
            ▼
┌──────────────────────┐
│  APPLICATION REVIEW  │
└──────────────────────┘
            │
            ▼
┌──────────────────────┐          ▪ Step 1 audit (audit of documented
│        AUDIT         │ ─────►       information)
└──────────────────────┘          ▪ Step 2 audit (on site audit)
            │
            ▼
┌──────────────────────┐
│ AUDIT RESULTS REVIEW │
└──────────────────────┘
            │
            ▼
┌──────────────────────┐
│ CERTIFICATION DECISION │
└──────────────────────┘
            │
            ▼
┌────────────────────────────────┐
│ SURVEILLANCE/ RE-CERTIFICATION │
└────────────────────────────────┘
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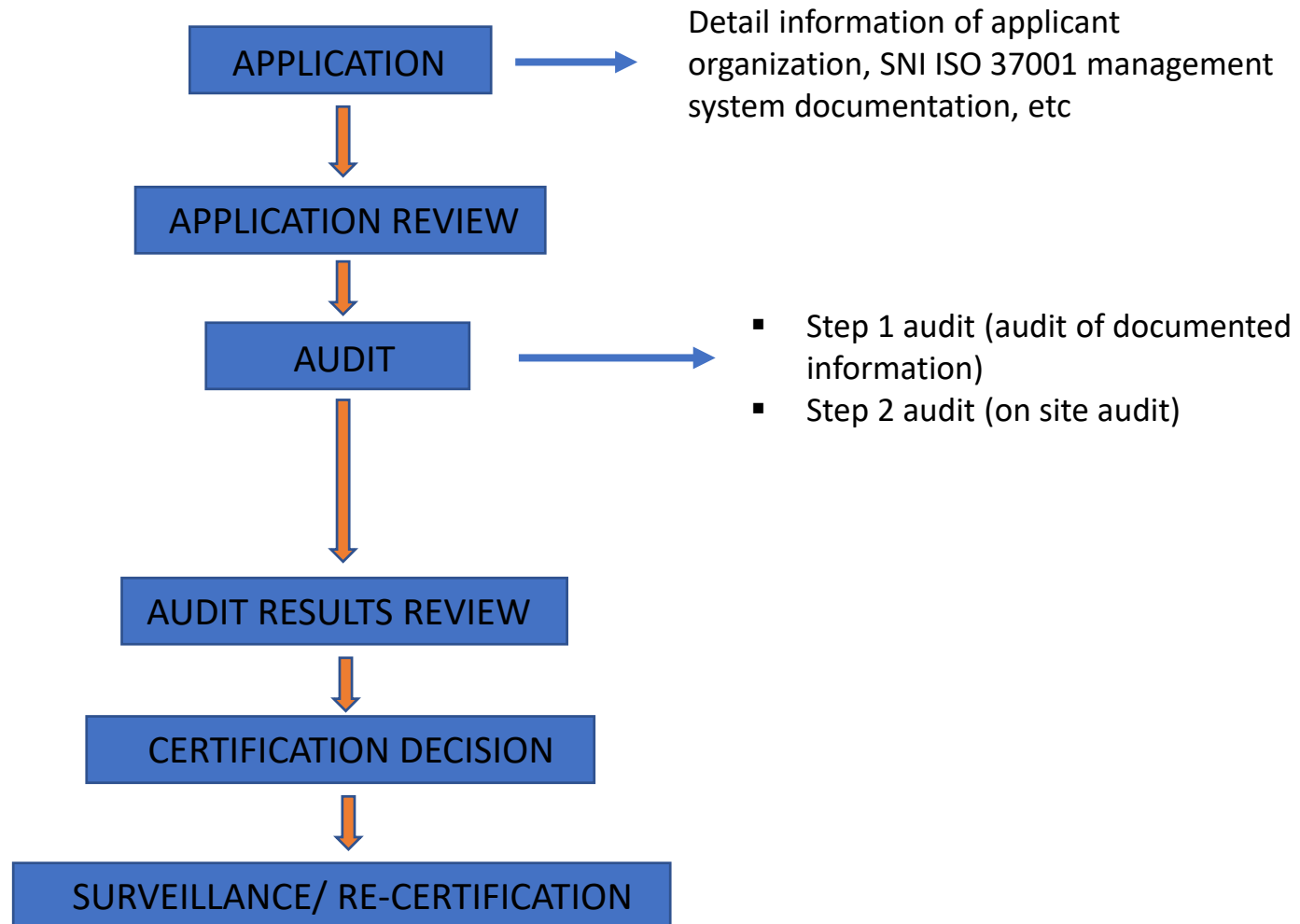
KAN accreditation scheme for ABMS CB has been operated since June 2017. Currently, 7 ABMS CB have been accredited and 89 organization have been certified to SNI ISO 37001. In addition,16 organization is still in process of certification. These include :

BADAN NARKOTIKA NASIONAL

BADAN PEMERIKSA KEUANGAN

SKK MIGAS

BADAN KARANTINA PERTANIAN

Implementing SNI ISO 37001

Benefits of Implementing SNI ISO 37001

- An anti-bribery management system (ABMS) designed to introduce an anti bribery culture within an organization and implement appropriate controls, which will in turn increase the chance of detecting bribery and reduce its incidence in the first place

- Assurance to management, investors, employees, customers, and other stakeholders that an organization is taking steps to prevent, detect and address bribery risk

- To help organization to reduce cost, risk and loss due to bribery; promoting trust and confidence in business and promote organization reputation

Benefits of SNI ISO 37001 Certification

- **SNI ISO 37001 certification demonstrates to customers, stakeholders, business associates, regulatory authorities, personnel, and the public that your organization is committed to ethical business practices.**

- **In a time of enormous media scrutiny of business ethics, certification also provides a substantial competitive advantage**

CHALLENGES IN IMPLEMENTING SNI ISO 37001

- **Organization** :
  - implementing a series of measures such as adopting an anti-bribery policy
  - appointing someone to oversee compliance
  - training employees
  - undertaking risk assessments
  - implementing financial & commercial controls
  - establishing reporting and investigation procedures

- Requires **leadership** and input from top management

- The policy and program must be **communicated to all** staff as well as external parties such as contractors, suppliers and partners

TERIMA KASIH
THANK YOU

BSN
BADAN STANDARDISASI NASIONAL

KAN
Komite Akreditasi Nasional

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ISO IEC CODEX ALIMENTARIUS BIPM

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